

Giant Fullerenes

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Chlorination of IPR C₁₀₀ Fullerene Affords Unconventional C₉₆Cl₂₀ with a Nonclassical Cage Containing Three Heptagons**

Shangfeng Yang,* Song Wang, Erhard Kemnitz,* and Sergey I. Troyanov*

Abstract: Chlorination of C_{100} fullerene with a mixture of VCl_4 and $SbCl_5$ afforded $C_{96}Cl_{20}$ with a strongly unconventional structure. In contrast to the classical fullerenes containing only hexagonal and pentagonal rings, the C_{96} cage contains three heptagonal rings and, therefore, should be classified as a fullerene with a nonclassical cage (NCC). There are several types of pentagon fusions in the C_{96} cage including pentagon pairs and pentagon triples. The three-step pathway from isolated-pentagon-rule (IPR) C_{100} to C_{96} (NCC-3hp) includes two C_2 losses, which create two cage heptagons, and one Stone–Wales rotation under formation of the third heptagon. Structural reconstruction established C_{100} isomer no. 18 from 450 topologically possible IPR isomers as the starting C_{100} fullerene. Until now, no pristine C_{100} isomers have been confirmed based on the experimental results.

The development of the chemistry of the higher fullerenes is hampered by their low abundance in the fullerene soot (except C_{84}) and the existence of many cage isomers. For the higher fullerenes in the range of C_{76} – C_{96} , it was still possible to isolate individual IPR (isolated pentagon rule) isomers in quantities sufficient for 13 C NMR study (C_{76} – C_{84}) $^{[1]}$ or for cocrystallization with metal porphyrins (C_{86} – C_{96}). $^{[2]}$ In the case of even larger (giant) fullerenes, HPLC isolation generally provides much smaller quantities, which sometimes amount to several dozens of micrograms. Therefore, the

[*] Prof. Dr. S.-F. Yang, S. Wang Hefei National Laboratory for Physical Sciences at Microscale CAS Key Laboratory of Materials for Energy Conversion Department of Materials Science and Engineering University of Science and Technology of China Hefei 230026 (China) E-mail: sfyang@ustc.edu.cn Prof. Dr. E. Kemnitz Institute of Chemistry, Humboldt University Berlin Brook-Taylor-Strasse2, 12489 Berlin (Germany) E-mail: erhard.kemnitz@chemie.hu-berlin.de Prof. Dr. S. I. Troyanov Department of Chemistry, Moscow State University

119991 Moscow, Leninskie gory (Russia)

E-mail: stroyano@thermo.chem.msu.ru

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isolation and structural study of giant empty fullerenes still remain quite challenging. Two recent reports are devoted to the characterization of chlorinated giant empty fullerenes such as two isomers of $C_{104}^{[3]}$ and nonclassical, heptagon-containing C_{102} resulting from a chlorination-promoted transformation of IPR $C_{102}(19)^{[4]}$ (numbering according to the spiral algorithm^[5]). On the other hand, giant endohedral fullerenes such as $Dy_2@C_{100}^{[6]}$ La₂@ $C_{100}(450)^{[7]}$ and $Sm_2@C_{104}(822)^{[8]}$ have been also isolated and characterized.

Up to now, empty fullerene C_{100} has been registered only by mass spectrometry. Theoretical calculations suggested that several of altogether 450 IPR isomers of C_{100} are more stable than others and, therefore, can be present in the fullerene soot. Among them, isomer D_2 - C_{100} (449) was found to be the most stable according to the calculations performed by different methods. The sets of next stable C_{100} isomers found in three theoretical studies are different, most probably due to the use of the selection and cutoffs for subsequent high-level calculations, whereas all 450 IPR isomers have been systematically calculated in Ref. [9a].

Herein we report the isolation and structural characterization of an unprecedented chlorinated C_{96} fullerene with a nonclassical cage (NCC) containing three heptagons, C_{96} -(NCC-3hp)Cl₂₀. The reconstruction of the pathway revealed that C_{96} (NCC-3hp) is a result of a three-step transformation, including two C_2 losses and one Stone–Wales (SW) rearrangement of the starting IPR C_{100} (18), the first isomer of pristine C_{100} evidenced by experimental results.

The fullerene soot was synthesized by the Krätschmer–Huffman DC arc-discharging method with an undoped graphite rod under He pressure of 400 mbar. The extracted fullerene mixture was subjected to HPLC separation in toluene using a preparative 5PYE column. The fraction eluting between 41.4 and 44.6 min was further separated with a semi-preparative Buckyprep column and the main subfractions were then subjected to recycling HPLC with a semi-preparative Buckyprep-M column. According to mass spectrometric analyses, three subfractions collected after several separation cycles contained mixtures with a prevailing abundance of C_{100} (see the Supporting Information for more details). The most pure C_{100} subfraction was used as the starting material for chlorination.

Around 0.02 mg of C_{100} was placed into a glass ampoule and approximately 0.4 mL of VCl₄ and a drop of SbCl₅ were added. The ampoule was evacuated, sealed off, and heated at 350–360 °C for roughly four weeks. After the ampoule was cooled and opened, the reaction product was washed with conc. HCl and water to remove excess SbCl₅ and VCl₄ leaving small orange-colored crystals. Single-crystal X-ray diffraction

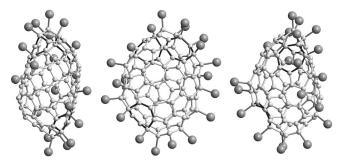


Figure 1. Three projections of the C_1 - $C_{96}(NCC-3hp)Cl_{20}$ molecule with heptagon rings highlighted in black. The left projection is along the former C_2 axis of the starting C_{100} , the middle one shows the relative positions of three cage heptagons, whereas the third one demonstrates a concave cage region due to the removal of a C_2 unit.

using synchrotron radiation revealed the formation of C_{96} fullerene chloride.^[10]

The crystallographic results revealed that the obtained C_{96} chloride, C_{96} Cl $_{20}$, possesses a very unusual molecular structure featuring the presence of three heptagonal rings (hp) in its carbon cage (Figure 1) and, therefore should be designated as a "nonclassical carbon cage" (NCC) in contrast to "classical" fullerenes containing only five- and six-membered rings. Significantly, although the possible role of heptagons in high-temperature fullerene synthesis and their formation by the loss of C_2 moieties have been intensely discussed in the literature, [11,12] so far the experimentally confirmed NCC fullerene derivatives including $C_{58}F_{18}$ and $C_{58}F_{17}(CF_3)$, [13] $C_{68}Cl_{6}$, [12] $C_{84}Cl_{32}$, [14] and $C_{88}Cl_{22/24}$ [15] all contain only one heptagon in the carbon cage.

As a consequence of the Euler theorem, the carbon cage of $C_{96}(NCC-3hp)Cl_{20}$ contains 15 pentagons (versus 12 pentagons in all classical fullerenes), most of which are fused forming three pentagon pairs, one sequentially fused triple, and one directly fused triple (see the top right Schlegel diagram in Figure 2). As a result, the $C_{96}(NCC-3hp)Cl_{20}$ molecule as a whole has a rather irregular shape because the areas of pentagon fusion are protruding, whereas the regions with heptagons are concave (see Figure 1).

The attachment of 20 Cl atoms on the C₉₆(NCC-3hp) cage is quite nonuniform; the structure features a long ninemembered chain of adjacent additions together with shorter, three- and four-membered chains (Figure 2). It is known that a pentagon fusion in fullerene cages results in additional strain which can be relieved by exohedral chlorination. [16] Indeed, all common edges of fused pentagon pairs are chlorinated. In the sequentially fused triple of pentagons three vertices of two common edges are chlorinated in accordance with the rule formulated previously for such pentagon arrangements.[17] Finally, in the directly fused pentagon triple only three of four vertices of fusion are chlorinated in contrast to the only known example for lower non-IPR fullerene, C₆₄Cl₄^[18] (see discussion below). Noteworthy, in spite of the involvement of Cl atoms in adjacent attachments, two cage pentagons are not chlorinated. Similarly, two unoccupied pentagons in chlorinated higher fullerenes with more than 12 attached Cl atoms have been reported for $C_{88}(17)Cl_{16}^{[19]}$ and $C_{104}(258)Cl_{16}^{[3]}$.

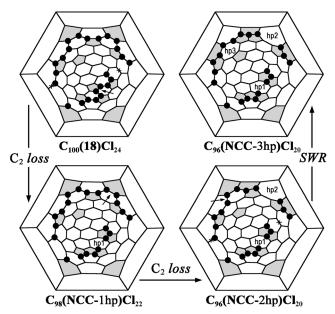


Figure 2. Schlegel diagram representation of a three-step pathway from IPR $C_{100}(18)Cl_{24}$ via $C_{98}(NCC-1hp)Cl_{22}$ and $C_{96}(NCC-2hp)Cl_{20}$ to the experimentally determined $C_{96}(NCC-3hp)Cl_{20}$. Pentagons are shown in gray, and heptagons are labeled hp1, hp2, and hp3. Small arrows show the position of the bonds that are removed or rotated in the next step. The positions of the twofold axes of the carbon cages in $C_{100}(18)Cl_{24}$ and $C_{96}(NCC-2hp)Cl_{20}$ are marked with +. SWR = Stone—Wales rearrangement.

The most interesting question arises about the origin of the C₉₆(NCC-3hp) cage because neither non-IPR nor nonclassical empty fullerenes can be present in the starting material. Because the starting fraction of C₁₀₀ was compositionally rather pure (see the Supporting Information), the pathway from IPR C₁₀₀ to C₉₆(NCC-3hp) cage should be sought. It follows from the previous cases of IPR fullerene shrinkage that heptagonal rings can be formed by the elimination of 5:6 C-C bonds from the cage. [13-15] Obviously, two such eliminations are responsible for the formation of two heptagons, whereas the third heptagon should be created by a different way. It can be assumed that the third heptagon is obtained by a Stone-Wales rotation of a 6:6 C-C bond, which connects a pentagon with a hexagon. Such transformations have never been reported for fullerenes, but a similar rotation of a 6:6 bond in a pyrene-like fragment (four hexagons) is widely discussed as a mechanism creating so-called Stone-Wales defects in nanotubes and graphene (two pentagons and two heptagons).^[20] A reconstruction of a possible pathway from C_{100} to $C_{96}(NCC-3hp)$ revealed that only three transformation steps (in any order) are necessary, including two C₂ losses and one SW rotation of the type discussed above.

Figure 2 shows a possible three-step pathway with C_2 losses occurring first, followed by the SW rotation. The starting IPR C_{100} cage corresponds to isomer 18, whereas the final and intermediate NCC cages can be properly designated with their spiral codes (see the Supporting Information).

It should be noted that the attachment positions in the starting and intermediate chlorinated fullerenes in Figure 2 are given somewhat arbitrarily. In fact, some positions,



especially those in triple hexagon junctions (THJs) could be chlorinated in next steps, where THJs change to junctions of two hexagons and one pentagon (HHP). Most probably, a C_2 moiety is removed from the cage as a chlorinated species $(C_2Cl_n).^{[14,15]}$ The driving force of the C_2 losses and heptagon generation is the simultaneous formation of chlorinated sites at pentagon–pentagon adjacencies of fused pentagon pairs and within pentagon triples. The two C_2 losses from the C_2 - $C_{100}(18)$ cage are symmetrically related and that produces $C_{96}(NCC-2hp)Cl_{20}$ containing the carbon cage with twofold symmetry.

Apparently, the SW rotation of a chlorinated C-C bond in the third step accompanied by the creation of the third heptagon (hp3) is driven by the formation of additional chlorinated pentagon-pentagon junctions, in particular, the directly fused pentagon triple. DFT calculations revealed this transformation to be strongly (103 kJ mol⁻¹) exothermic.^[21] The SW rotation proceeds without formation of new chlorinated sites, so that one vertex of the resulting directly fused pentagon triple remains nonchlorinated. Interestingly, the cage regions of heptagons 1 and 2, which were formed by C₂ abstraction, are pronouncedly concave (see Figure 1), whereas heptagon 3, which resulted from the SW rotation, is only slightly twisted. Average pyramidalization angles at sp³ sites (defined as the average Cl-C-C angle minus 109.5°)^[17] are -0.7° (THJ), $+0.2^{\circ}$ (HHP), $+2.8^{\circ}$ (PPH), and 4.2° (PPHp and PPP), where HHP, PPH, PPHp, and PPP designate the junctions of pentagons (P), hexagons (H), and heptagons (Hp).

The C_2 - $C_{100}(18)$ isomer can be confidently considered to be the starting IPR cage because 1) it is achievable by the shortest reconstruction path from the experimentally determined C₉₆(NCC-3hp) cage and 2) IPR-IPR transformations do not occur at the relatively low reaction temperatures of 350–360 °C used in the present study. Furthermore, C_2 -C₁₀₀(18) isomer ranks second in stability among all 450 IPR C₁₀₀ isomers and possesses a large HOMO-LUMO gap according to theoretical calculations. [9a] A high probability of the presence of $C_{100}(18)$ in the fullerene soot is additionally supported by the fact that its relative content in the equilibrium mixture of C₁₀₀ isomers is rather high over a wide temperature range as revealed by the calculation of Gibbs energies. $^{[9a]}$ The absence of $C_{100}(18)$ among the most stable C₁₀₀ isomers in other theoretical studies^[9b,c] might be due to the incompleteness of the isomer sets used in the calculations.

DFT calculations of the formation energies of IPR and NCC C_{96} fullerenes and $C_{96}(NCC\text{-}3hp)Cl_{20}$ allowed us to estimate the average energy of C–Cl bonds (chlorination enthalpy of the parent fullerene per one Cl atom). As expected, the $C_{96}(NCC\text{-}3hp)$ fullerene is energetically extremely unfavorable being 696 kJ mol⁻¹ less stable than the most stable IPR $D_2\text{-}C_{96}(183)$. However, the $C_{96}(NCC\text{-}3hp)Cl_{20}$ molecule is very stable; its C–Cl bond energy is 42 kJ mol⁻¹ higher than that in $D_{3d}\text{-}C_{60}Cl_{30}$, apparently, due to the contribution from chlorinated pentagon–pentagon adjacencies, in particular, the triply fused pentagons. For comparison, the value for the non-IPR $^{\#18917}C_{76}Cl_{24}$ containing five pairs of fused pentagons is still higher (55 kJ mol⁻¹)

because of additional stabilization due to the presence of the flattened coronene fragments on the unfunctionalized part of the C_{76} cage. [23] In contrast, the average C–Cl bond energy in the IPR $C_{96}(183)\text{Cl}_{24}$ molecule [24] is only $1.8~\text{kJ}\,\text{mol}^{-1}$ higher than that in D_{3d} - $C_{60}\text{Cl}_{30}$; this is in accord with the empirical rule that the C–Cl bond energy slightly decreases with the increasing number of Cl attachments. [19,24]

In contrast to the empty C_{100} fullerenes, of which the lowest-energy isomers possess numbers 449 and 18, the endohedral C_{100} fullerenes have a fully different stability order. Thus, IPR isomer D_5 - C_{100} (450) was theoretically predicted to be the most favorable C_{100} cage for the endohedral fullerenes incorporating two lanthanide atoms, which are able to donate up to six electrons to the carbon cage. [25] This assumption was later confirmed by the isolation and structure determination of $La_2@C_{100}$ (450). Note that empty C_{100} (450) is 395th in the stability row ranking and possesses a virtually zero HOMO–LUMO gap according to theoretical calculations. [9a]

In summary, the significance of the findings of this work for the fundamental fullerene chemistry is threefold. First, a chlorinated nonclassical C₉₆ fullerene featuring, for the first time, three heptagons and sequentially/directly fused pentagon triples has been isolated and structurally characterized. Second, a new transformation path to heptagons, a Stone-Wales rearrangement, has been shown to proceed in the course of chlorination under relatively mild conditions. Third, the presence of the first IPR isomer of empty C₁₀₀ fullerene, C_2 - $C_{100}(18)$, in the arc-discharge fullerene soot has been proposed on the basis of the experimental structural data. Thus, chlorination followed by a single-crystal X-ray diffraction study proved to be a powerful means of investigating higher fullerenes available only in extremely small quantities. Structural studies on the derivatives of other C₁₀₀ isomers are underway in our laboratories.

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